

Mukesh Babu Financial Services Limited

Category code	Category of Shareholder	Number of Shareholder	Total number of Shares	Number of Shares dematerialized form	Total Shareholding as a percentage of total shares		Shares pledged(or otherwise encumbered)	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (IX) = (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)				
(A)	PROMOTER AND PROMOTER GROUP							
[1]	INDIAN							
	INDIVIDUALS / HINDU UNDIVIDED FAMILY	2	4381600	4381600	62.86	62.86	0	0
	CENTRAL GOVERNMENT / STATE GOVERNMENT(S)	0	0	0	0	0	0	0
	BODIES CORPORATE	0	0	0	0	0	0	0
	FINANCIAL INSTITUTIONS / BANKS	0	0	0	0	0	0	0
	ANY OTHER (SPECIFY)	0	0	0	0	0	0	0
	Sub Total	2	4381600	4381600	62.86	62.86	0	0
[2]	FOREIGN							
	INDIVIDUALS (NON-RESIDENT INDIVIDUALS / FOREIGN INDIVIDUALS)	0	0	0	0	0	0	0
	BODIES CORPORATE	0	0	0	0	0	0	0
	INSTITUTIONS	0	0	0	0	0	0	0
	QUALIFIED FORE. INVESTOR-CORPORATE	0	0	0	0	0	0	0
	QUALIFIED FORE.INVESTOR-IND	0	0	0	0	0	0	0
	ANY OTHER (SPECIFY)	0	0	0	0	0	0	0
	Sub Total	0	0	0	0	0	0	0
	Total (A)	2	4381600	4381600	62.86	62.86	0	0
(B)	PUBLIC SHAREHOLDING							
[1]	INSTITUTIONS							
	MUTUAL FUNDS / UTI	2	197500	0	2.83	2.83	0	0

	FINANCIAL INSTITUTIONS / BANKS	1	11700	0	0.17	0.17	0	0
	CENTRAL GOVERNMENT / STATE GOVERNMENT(S)	0	0	0	0	0	0	0
	VENTURE CAPITAL FUNDS	0	0	0	0	0	0	0
	INSURANCE COMPANIES	0	0	0	0	0	0	0
	FOREIGN INSTITUTIONAL INVESTORS	0	0	0	0	0	0	0
	FOREIGN VENTURE CAPITAL INVESTORS	0	0	0	0	0	0	0
	QUALIFIED FORE. INVESTOR-CORPORATE	0	0	0	0	0	0	0
	QUALIFIED FORE.INVESTOR-IND	0	0	0	0	0	0	0
	Sub Total	3	209200	0	3	3	0	0
[2]	NON-INSTITUTIONS							
	BODIES CORPORATE	30	766366	763066	10.99	10.99	0	0
	INDIVIDUAL SHAREHOLDERS HOLDING NOMINAL SHARE CAPITAL UPTO RS. 1 LAKH.	1760	838627	544027	12.03	12.03	0	0
	INDIVIDUAL SHAREHOLDERS HOLDING NOMINAL SHARE CAPITAL IN EXCESS OF RS. 1 LAKH	19	579778	569478	8.32	8.32	0	0
	QUALIFIED FORE. INVESTOR-CORPORATE	0	0	0	0	0	0	0
	QUALIFIED FORE.INVESTOR-IND	0	0	0	0	0	0	0
	CLEARING MEMBER	6	14733	14733	0.21	0.21	12800	86.88
	MARKET MAKER	0	0	0	0	0	0	0
	OFFICE BEARERS	0	0	0	0	0	0	0
	NON RESIDENT INDIANS (REPAT)	82	108646	9946	1.56	1.56	0	0
	NON RESIDENT INDIANS (NON REPAT)	6	13900	13900	0.2	0.2	0	0
	FOREIGN COMPANIES	0	0	0	0	0	0	0
	OVERSEAS BODIES CORPORATES	1	32900	0	0.47	0.47	0	0
	DIRECTORS / RELATIVES	0	0	0	0	0	0	0
	PERSONS ACTING IN CONCERT	0	0	0	0	0	0	0
	GROUP COMPANIES	0	0	0	0	0	0	0
	GOVT. NOMINEES	0	0	0	0	0	0	0
	NOTIFIED PERSONS	0	0	0	0	0	0	0

	OTHER SHROFF COMPANIES	0	0	0	0	0	0	0
	OTHER SHROFF FAMILY	0	0	0	0	0	0	0
	OTHER SHROFF FAMILY [NRI]	0	0	0	0	0	0	0
	SUBSIDIARY COMPANIES	0	0	0	0	0	0	0
	SHROFF PLEDGE	0	0	0	0	0	0	0
	FOREIGN DIRECTORS	0	0	0	0	0	0	0
	PARTNERSHIP FIRM	0	0	0	0	0	0	0
	PROPRIETARY FIRM	0	0	0	0	0	0	0
	OTHER DIRECTORS	0	0	0	0	0	0	0
	ESCROW ACCOUNT	0	0	0	0	0	0	0
	FOREFIETED SHARES	0	0	0	0	0	0	0
	FREINDS & ASSOCIATES	0	0	0	0	0	0	0
	HOLDING COMPANY	0	0	0	0	0	0	0
	HINDU UNDIVIDED FAMILY	12	25150	25150	0.36	0.36	0	0
	TRUSTS	0	0	0	0	0	0	0
	Sub Total	1916	2380100	1940300	34.14	34.14	12800	0.54
	Total (B)	1919	2589300	1940300	37.14	37.14	12800	0.49
	Total (A)+(B)	1921	6970900	6321900	100	100	12800	0.18
(C)	SHARES HELD BY CUSTODIANS AND AGAINST WHICH DEPOSITORY RECEIPTS HAVE BEEN ISSUED							
	-							
	SHARES HELD BY CUSTODIANS	0	0	0	0	0	0	0
	Sub Total	0	0	0	0	0	0	0
	Total (C)	0	0	0	0	0	0	0
	Total (A)+(B)+(C)	1921	6970900	6321900	100	100	12800	0.18

GENERATED ON : 09/10/2014

NSDL : 30/09/2014

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Mukesh Babu Financial Services Limited

(I)(b) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS BELONGING TO THE CATEGORY 'PROMOTER AND PROMOTER GROUP'

Sr. No.	NAME OF THE SHAREHOLDER	NO.OF SHARES HELD	TOTAL SHARES HELD AS a % of GRAND TOTAL (A)+(B)+(C)	ENCUMBERED SHARES No. (V)	ENCUMBERED SHARES AS A PERCENTAGE (VI)=(V)/(III)* 100	ENCUMBERED SHARES AS A % OF GRAND TOTAL (A)+(B)+(C) OF SUB-CLAUSE(I)(a) (VII)	PAN	Number of warrants held (VIII)	As a % total number of warrants of the same class (IX)	Number of convertible securities held (X)	As a % total number of convertible securities of the same class (XI)	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XII)
1	MUKESH C BABU	3431600	49.2275	0	0	0	AABPB9901C					
2	MEENA MUKESH BABU	950000	13.6281	0	0	0	AAFPB1958F					
	Total :	4381600	62.8556	0	0	0						

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Mukesh Babu Financial Services Limited

(I)(C)(i) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS BELONGING TO THE CATEGORY 'PUBLIC' AND HOLDING MORE THAN 1% OF THE TOTAL NUMBER OF SHARES

SR. NO.	NAME(S) OF THE SHAREHOLDER	NUMBER OF SHARES HELD	SHARES AS A PERCENTAGE OF TOTAL NUMBER OF SHARES {i.e. GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA (I)(a) ABOVE }	PAN	NUMBER OF WARRANTS HELD	AS A % TOTAL NUMBER OF WARRANTS OF THE SAME CLASS	NUMBER OF CONVERTIBLE SECURITIES HELD	% W .R.T TOTAL NUMBER OF CONVERTIBLE SECURITIES OF THE SAME CLASS	TOTAL SHARES (INCLUDING UNDERLYING SHARES ASSUMING FULL CONVERSION OF WARRANTS AND CONVERTIBLE SECURITIES) AS A % OF DILUTED SHARE CAPITAL
1	SAGAR SYSTECH LIMITED	604500	8.6718						
2	THANKI BHARATBHAI TRIKAMJI	205132	2.9427						
3	SBI CAPITAL MARKETS LTD	100000	1.4345						
4	INDIAN BANK A\C INDIAN BANK MUTUAL FUND	97500	1.3987						
	Total :	1007132	14.4477						

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Mukesh Babu Financial Services Limited

(I)(c)(II) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES,WARRANTS,CONVERTIBLE SECURITIES) OF PERSONS (TOGETHER WITH PAC) BELONGING TO THE CATEGORY 'PUBLIC AND HOLDING MORE THAN 5% OF THE TOTAL NUMBER OF SHARES OF THE COMPANY

SR. NO.	NAME(S) OF THE SHAREHOLDER(S) AND THE PERSONS ACTING IN CONCERT(PAC) WITH THEM	NUMBER OF SHARES	SHARES AS A PERCENTAGE OF TOTAL NUMBER OFSHARES {i.e. GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA (I)(a) ABOVE }	PAN	NUMBER OF WARRANTS HELD	AS A % TOTAL NUMBER OF WARRANTS OF THE SAME CLASS	NUMBER OF CONVERTIBL E SECURITIES HELD	% W .R.T TOTAL NUMBER OF CONVERTIBLE SECURITIES OF THE SAME CLASS	TOTAL SHARES (INCLUDING UNDERLYING SHARES ASSUMING FULL CONVERSION OF WARRANTS AND CONVERTIBLE SECURITIES) AS A % OF DILUTED SHARE CAPITAL
1	SAGAR SYSTECH LIMITED	604500	8.6718						
	Total :	604500	8.6718						

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