|       |   |              | Mukesh Babu Fina | ncial Services Limited |                                |                                   |                       |   |  |
|-------|---|--------------|------------------|------------------------|--------------------------------|-----------------------------------|-----------------------|---|--|
| Categ |   | Number of    | Total number of  | Number of Shares       | Total Sharel                   | holding as                        | Shares pledged(or     |   |  |
| ory   | Category of Shareholder                         | Shareholders | Shares           | dematerialized form    | a percentage of total          |                                   | otherwise encumbered) |   |  |
| code  |   |              | Silaies          |                        | shar                           | es                                |                       |   |  |
|       |   |              |                  |                        | As a As a                      |                                   | Number of             | As a                                    |  |
| (1)   | (11)  | (111)        | (IV)             | (V)                    | percentage<br>of (A+B)<br>(VI) | percentage<br>of (A+B+C)<br>(VII) | shares<br>(VIII)      | percentage<br>(IX) =<br>(VIII)/(IV)*100 |  |
|       | . ,   | , ,          | ,                | . ,                    | ` ,                            | , ,                               | , ,                   | , ,,,                                   |  |
| (A)   | PROMOTER AND PROMOTER GROUP                     |              |                  |                        |                                |                                   |                       |   |  |
| [1]   | INDIAN  |              |                  |                        |                                |                                   |                       |   |  |
|       | INDIVIDUALS / HINDU UNDIVIDED                   |              |                  |                        |                                |                                   |                       |   |  |
|       | FAMILY  | 2            | 4381600          | 4381600                | 62.86                          | 62.86                             | 0                     | 0                                       |  |
|       | CENTRAL GOVERNMENT / STATE                      |              |                  |                        |                                |                                   |                       |   |  |
|       | GOVERNMENT(S)                                   | 0            | 0                | 0                      | 0.00                           | 0.00                              | 0                     | 0                                       |  |
|       | BODIES CORPORATE                                | 0            | 0                | 0                      | 0.00                           | 0.00                              | 0                     | 0                                       |  |
|       | FINANCIAL INSTITUTIONS / BANKS                  | 0            | 0                | 0                      | 0.00                           | 0.00                              | 0                     | 0                                       |  |
|       | ANY OTHER (SPECIFY)                             | 0            | 0                | 0                      | 0.00                           | 0.00                              | 0                     | 0                                       |  |
|       | Sub Total                                       | 2            | 4381600          | 4381600                | 62.86                          | 62.86                             | 0                     | 0                                       |  |
| [2]   | FOREIGN   |              |                  |                        |                                |                                   |                       |   |  |
|       | INDIVIDUALS (NON-RESIDENT INDIVIDUALS / FOREIGN |              |                  |                        |                                |                                   |                       |   |  |
|       | INDIVIDUALS)                                    | 0            | 0                | 0                      | 0.00                           | 0.00                              | 0                     | 0                                       |  |
|       | BODIES CORPORATE                                | 0            | 0                | 0                      | 0.00                           | 0.00                              | 0                     | 0                                       |  |
|       | INSTITUTIONS                                    | 0            | 0                | 0                      | 0.00                           | 0.00                              | 0                     | 0                                       |  |
|       | QUALIFIED FORE. INVESTOR-                       |              |                  |                        |                                |                                   |                       |   |  |
|       | CORPORATE                                       | 0            | 0                | 0                      | 0.00                           | 0.00                              | 0                     | 0                                       |  |
|       | QUALIFIED FORE.INVESTOR-IND                     | 0            | 0                | 0                      | 0.00                           | 0.00                              | 0                     | 0                                       |  |
|       | ANY OTHER (SPECIFY)                             | 0            | 0                | 0                      | 0.00                           | 0.00                              | 0                     | 0                                       |  |
|       | Sub Total                                       | 0            | 0                | 0                      | 0.00                           | 0.00                              | 0                     | 0                                       |  |
|       | Total (A)                                       | 2            | 4381600          | 4381600                | 62.86                          | 62.86                             | 0                     | 0                                       |  |

| (B) | PUBLIC SHAREHOLDING             |      |        |        |       |       |   |   |
|-----|---------------------------------|------|--------|--------|-------|-------|---|---|
| [1] | INSTITUTIONS                    |      |        |        |       |       |   |   |
|     | MUTUAL FUNDS / UTI              | 2    | 197500 | 0      | 2.83  | 2.83  | 0 | 0 |
|     |                                 |      |        |        |       |       |   |   |
|     | FINANCIAL INSTITUTIONS / BANKS  | 1    | 11700  | 0      | 0.17  | 0.17  | 0 | 0 |
|     | CENTRAL GOVERNMENT / STATE      |      |        |        |       |       |   |   |
|     | GOVERNMENT(S)                   | 0    | 0      | 0      | 0.00  | 0.00  | 0 | 0 |
|     | VENTURE CAPITAL FUNDS           | 0    | 0      | 0      | 0.00  | 0.00  | 0 | 0 |
|     | INSURANCE COMPANIES             | 0    | 0      | 0      | 0.00  | 0.00  | 0 | 0 |
|     |                                 |      |        |        |       |       |   |   |
|     | FOREIGN INSTITUTIONAL INVESTORS | 0    | 0      | 0      | 0.00  | 0.00  | 0 | 0 |
|     | FOREIGN VENTURE CAPITAL         |      |        |        |       |       |   |   |
|     | INVESTORS                       | 0    | 0      | 0      | 0.00  | 0.00  | 0 | 0 |
|     | QUALIFIED FORE. INVESTOR-       |      |        |        |       |       |   |   |
|     | CORPORATE                       | 0    | 0      | 0      | 0.00  | 0.00  | 0 | 0 |
|     | QUALIFIED FORE.INVESTOR-IND     | 0    | 0      | 0      | 0.00  | 0.00  | 0 | 0 |
|     | Sub Total                       | 3    | 209200 | 0      | 3.00  | 3.00  | 0 | 0 |
| [2] | NON-INSTITUTIONS                |      |        |        |       |       |   |   |
|     | BODIES CORPORATE                | 29   | 767965 | 764665 | 11.02 | 11.02 | 0 | 0 |
|     | INDIVIDUAL SHAREHOLDERS         |      |        |        |       |       |   |   |
|     | HOLDING NOMINAL SHARE CAPITAL   |      |        |        |       |       |   |   |
|     | UPTO RS. 1 LAKH.                | 1763 | 849577 | 556177 | 12.19 | 12.19 | 0 | 0 |
|     | INDIVIDUAL SHAREHOLDERS         |      |        |        |       |       |   |   |
|     | HOLDING NOMINAL SHARE CAPITAL   |      |        |        |       |       |   |   |
|     | IN EXCESS OF RS. 1 LAKH         | 17   | 551664 | 541364 | 7.91  | 7.91  | 0 | 0 |
|     | QUALIFIED FORE. INVESTOR-       |      |        |        |       |       |   |   |
|     | CORPORATE                       | 0    | 0      | 0      | 0.00  | 0.00  | 0 | 0 |
|     | QUALIFIED FORE.INVESTOR-IND     | 0    | 0      | 0      | 0.00  | 0.00  | 0 | 0 |
|     | CLEARING MEMBER                 | 9    | 16736  | 16736  | 0.24  | 0.24  | 0 | 0 |
|     | FOREIGN PORTFOLIO INVESTOR      |      |        |        |       |       |   |   |
|     | (CORPORATE)                     | 0    | 0      | 0      | 0.00  | 0.00  | 0 | 0 |
|     | FOREIGN PORTFOLIO INVESTOR      |      |        |        |       |       |   |   |
|     | (INDIVIDUAL)                    | 0    | 0      | 0      | 0.00  | 0.00  | 0 | 0 |

|      | MARKET MAKER   | 0              | 0             | 0       | 0.00   | 0.00   | 0 | 0 |
|------|--|----------------|---------------|---------|--------|--------|---|---|
|      | OFFICE BEARERS   | 0              | 0             | 0       | 0.00   | 0.00   | 0 | 0 |
|      | NON RESIDENT INDIANS (REPAT)   | 81             | 107244        | 9544    | 1.54   | 1.54   | 0 | 0 |
|      | NON RESIDENT INDIANS (NON  |                |               |         |        |        |   |   |
|      | REPAT)   | 7              | 29614         | 29614   | 0.42   | 0.42   | 0 | 0 |
|      | FOREIGN COMPANIES  | 0              | 0             | 0       | 0.00   | 0.00   | 0 | 0 |
|      | OVERSEAS BODIES CORPORATES   | 1              | 32900         | 0       | 0.47   | 0.47   | 0 | 0 |
|      | DIRECTORS / RELATIVES  | 0              | 0             | 0       | 0.00   | 0.00   | 0 | 0 |
|      | PERSONS ACTING IN CONCERT  | 0              | 0             | 0       | 0.00   | 0.00   | 0 | 0 |
|      | HINDU UNDIVIDED FAMILY   | 11             | 24400         | 24400   | 0.35   | 0.35   | 0 | 0 |
|      | TRUSTS   | 0              | 0             | 0       | 0.00   | 0.00   | 0 | 0 |
|      | Sub Total  | 1918           | 2380100       | 1942500 | 34.14  | 34.14  | 0 | 0 |
|      | Total (B)  | 1921           | 2589300       | 1942500 | 37.14  | 37.14  | 0 | 0 |
|      | Total (A)+(B)  | 1923           | 6970900       | 6324100 | 100.00 | 100.00 | 0 | 0 |
| (C)  | SHARES HELD BY CUSTODIANS AND<br>AGAINST WHICH DEPOSITORY<br>RECEIPTS HAVE BEEN ISSUED |                |               |         |        |        |   |   |
|      | -  |                |               |         |        |        |   |   |
|      | SHARES HELD BY CUSTODIANS  | 0              | 0             | 0       | 0.00   | 0.00   | 0 | 0 |
|      | Sub Total  | 0              | 0             | 0       | 0.00   | 0.00   | 0 | 0 |
|      | Total (C)  | 0              | 0             | 0       | 0.00   | 0.00   | 0 | 0 |
|      | Total (A)+(B)+(C)  | 1923           | 6970900       | 6324100 | 100.00 | 100.00 | 0 | 0 |
|      |  |                |               |         |        |        |   |   |
| GENE | RATED ON: 03/01/2015 NS  | SDL: 31/12/201 | 4 CDSL: 31/1: | 2/2014  |        |        |   |   |
|      |  |                |               |         |        |        |   |   |

For " Mukesh Babu Financial Services Limited" CIN No: L65920MH1985PLC035504

Company Secretary.

| ing Pattern           |  |  |  |  |  |  |
|-----------------------|--|--|--|--|--|--|
| _                     | ces Limited  |  |  |  |  |  |
|                       | Class of Security:   |  |  |  |  |  |
|                       |  |  |  |  |  |  |
|                       |  |  |  |  |  |  |
| No. of Partly Paid-up | As a % of total no. of   | As a % of total no. of   |  |  |  |  |
| shares                | Partly paid-up shares  | shares of the Company  |  |  |  |  |
|                       |  |  |  |  |  |  |
|                       |  |  |  |  |  |  |
| 0                     | 0  | 0  |  |  |  |  |
| 10300                 | 100  | 0.1478   |  |  |  |  |
| 10300                 | 100  | 0.1478   |  |  |  |  |
|                       | As a % of total No. of   | As a % of total No. of<br>shares of the<br>company,assuming full   |  |  |  |  |
| No. of Outstanding    | Outstanding convertible  | conversion of the  |  |  |  |  |
| securities            | securities   | convertible securities   |  |  |  |  |
|                       |  |  |  |  |  |  |
|                       |  |  |  |  |  |  |
| 0                     | 0  | 0  |  |  |  |  |
| 0                     | 0  | 0  |  |  |  |  |
| 0                     | 0  | 0  |  |  |  |  |
| No. of Warrants       | As a % of total no. of warrants  | As a % of total No. of shares of the company, assuming full conversion of the convertible securities                                       |  |  |  |  |
|                       |  |  |  |  |  |  |
|                       |  |  |  |  |  |  |
| 0                     | 0  | 0  |  |  |  |  |
| 0                     | 0  | 0  |  |  |  |  |
| 0                     | 0  | 0  |  |  |  |  |
|                       |  | 6970900  |  |  |  |  |
|                       | No. of Partly Paid-up shares  0 10300 10300 No. of Outstanding securities  0 0 0 0 0 0 0 0 0 0 0 0 0 | No. of Partly Paid-up As a % of total no. of Partly paid-up shares    No. of Partly Paid-up   As a % of total no. of Partly paid-up shares |  |  |  |  |

For " Mukesh Babu Financial Services Limited" CIN No : L65920MH1985PLC035504

**Company Secretary.** 

|        | Mukesh Babu Financia             | al Services Limited                                     |   |   |
|--------|----------------------------------|---|---|---|
| •      | II (a) STATEMENT SHOWING DETAILS | OF DEPOSITORY RECEIPTS(D                                | Rs)   |   |
|        | NAME OF THE DR HOLDER            | NUMBER OF SHARES<br>UNDERLYING                          | SHARES UNDERLYING<br>OUTSTANDING DRS  |   |
|        |                                  | OUTSTANDING DRS   | AS A PERCENTAGE OF<br>TOTAL NUMBER OF<br>SHARES {i.e.,<br>GRAND TOTAL<br>(A)+(B)+(C)<br>INDICATED IN<br>STATEMENT |   |
| Sr.No. |                                  |   | AT PARA(I)(a)ABOVE }  |   |
|        | Total:                           | (   |   |   |
|        | II (b) STATEMENT SHOWING HOLDING | FOF DEPOSITORY RECEIPTS (D<br>OF 1% OF THE TOTAL NUMBER |   | IG SHARES ARE   |
| Sr.    | NAME OF THE DR HOLDER            | TYPE OF   | NUMBER OF   | SHARES UNDERLYING   |
| No.    |                                  | OUTSTANDING   | SHARES  | OUTSTANDING DRs AS A  |
|        |                                  | DR(ADRs,GDRs,   | UNDERLYING  | PERCENTAGE OF TOTAL   |
|        |                                  | SDRs,etc.)  |   | OF SHARES{i.e.,GRAND TOTAL<br>(A)+(B)+(C) INDICATED IN<br>STATEMENT |
|        | Total :                          |   | 0   | 0   |
|        | I (d) STATEMEN                   | T SHOWING DETAILS OF LOCI                               | 7   |   |
| Sr.    | NAME OF SHAREHOLDER              | NUMBER OF LOCKED-IN                                     | LOCKED-IN SHARES AS  A  (%)PERCENTAGE OF  | Promotor/Promotor   |
| No.    |                                  | SHARES  | TOTAL   | Group/Public  |
|        |                                  |   | NUMBER OF SHARES GRAND TOTAL STATEMENT AT PARA (I)(a) ABOVE }   |   |
|        | Total :                          | (   |   |   |
|        | iotai.                           |   | <u>'I</u>   |   |

## **Mukesh Babu Financial Services Limited** (I)(b) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS BELONGING TO THE CATEGORY 'PROMOTER AND PROMOTER GROUP' **TOTAL** ENCUMBE **ENCUM SHARES** RED BERED **ENCUMBERE** Number As a % Numbe HELD **SHARES D SHARES** Total shares NAME OF THE SHAREHOLDER NO.OF **SHARES** of total r of As a % AS A % OF warrant number (including conver No. **SHARES** AS a % of AS A **GRAND** of tible underlying No. total **GRAND** PERCEN warrant securiti number TOTAL HELD TOTAL TAGE held s of of shares (A)+(B)+(C)the converti assuming (A)+(B)+(C)full OF (VIII) same held ble SUBsecuriti conversion (V) CLAUSE(I)(a) class (X) es of (VI)=(V)/ warrants (1) (II) (III)\*100 (IV) (VII) (IX) of the and same (III) convertible class securities) (XI) as a % of diluted share capital (XII) PAN MUKESH C BABU 3431600 49.2275 AABPB9901C 0 0 AAFPB1958F MEENA MUKESH BABU 950000 13.6281 0 Total: 4381600 62.8556 GENERATED ON: 03/01/2015 NSDL: 31/12/2014 CDSL: 31/12/2014

For " Mukesh Babu Financial Services Limited"

CIN No: L65920MH1985PLC035504

**Company Secretary.** 

## Mukesh Babu Financial Services Limited

(I)(C)(i) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS BELONGING TO THE 'PUBLIC' AND HOLDING MORE THAN 1% OF THE TOTAL NUMBER OF SHARES

|     |                             | PUBLIC AIN | D HOLDING MORE THAN 1% OF | THE TO | TAL NUMBER | OF SHAKES |           |            |             |
|-----|-----------------------------|------------|---------------------------|--------|------------|-----------|-----------|------------|-------------|
|     | NAME(S) OF THE SHAREHOLDER  | NUMBER     | SHARES AS A PERCENTAGE OF | PAN    | NUMBER     | AS A %    | NUMBER    | % W .R.T   | TOTAL       |
| SR. |                             | OF         |                           |        | OF         | TOTAL     | OF        |            | SHARES      |
|     | '                           | SHARES     | TOTAL NUMBER OFSHARES     |        | WARRANTS   | NUMBER OF | CONVERTI  | TOTAL      | (INCLUDING  |
| NO. |                             | HELD       | {i.e.                     |        |            |           | BLE       | NUMBER     |             |
|     |                             |            | GRAND TOTAL (A)+(B)+(C)   |        | HELD       | WARRANTS  | SECURITIE | OF         | UNDERLYING  |
|     |                             |            |                           |        |            | OF        | S         | CONVERTIB  |             |
|     |                             |            |                           |        |            |           |           | LE         |             |
|     |                             |            | INDICATED IN STATEMENT AT |        |            | THE SAME  | HELD      | SECURITIES | SHARES      |
|     |                             |            |                           |        |            |           |           | OF         | ASSUMING    |
|     |                             |            | PARA (I)(a) ABOVE }       |        |            | CLASS     |           | THE SAME   | FULL        |
|     |                             |            |                           |        |            |           |           | CLASS      | CONVERSION  |
|     |                             |            |                           |        |            |           |           |            |             |
|     |                             |            |                           |        |            |           |           |            | OF          |
|     |                             |            |                           |        |            |           |           |            | WARRANTS    |
|     |                             |            |                           |        |            |           |           |            | AND         |
|     |                             |            |                           |        |            |           |           |            | CONVERTIBLE |
|     |                             |            |                           |        |            |           |           |            | SECURITIES) |
|     |                             |            |                           |        |            |           |           |            | AS A % OF   |
|     |                             |            |                           |        |            |           |           |            | DILUTED     |
|     |                             |            |                           |        |            |           |           |            | SHARE       |
|     |                             |            |                           |        |            |           |           |            | CAPITAL     |
| 1   | SAGAR SYSTECH LIMITED       | 604500     | 8.6718                    |        |            |           |           |            | 2           |
| 2   | THANKI BHARATBHAI TRIKAMJI  | 205132     | 2.9427                    |        |            |           |           |            |             |
| 3   | SBI CAPITAL MARKETS LTD     | 100000     | 1.4345                    |        |            |           |           |            |             |
|     | INDIAN BANK A\C INDIAN BANK |            |                           |        |            |           |           |            |             |
| 4   | MUTUAL FUND                 | 97500      | 1.3987                    |        |            |           |           |            |             |
|     | Total :                     | 1007132    | 14.4477                   |        |            |           |           |            |             |

| (1)( | (I)(c)(II) STATEMENT SHOWING HOLDING OF 5% SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS (TOGETHER WITH PAC) |           |                           |     |          |           |           |            |            |
|------|---|-----------|---------------------------|-----|----------|-----------|-----------|------------|------------|
| SR.  | NAME(S) OF THE SHAREHOLDER(S)   | NUMBER    | SHARES AS A PERCENTAGE OF | PAN | NUMBER   | AS A %    | NUMBER    | % W .R.T   | TOTAL      |
|      | AND THE PERSONS   | SHARES    | TOTAL NUMBER OFSHARES     |     | WARRANTS | NUMBER OF | CONVERTI  | TOTAL      | (INCLUDING |
| NO.  |   |           | {i.e.                     |     |          |           | BLE       | NUMBER     |            |
|      | ACTING IN CONCERT(PAC)  |           | GRAND TOTAL (A)+(B)+(C)   |     | HELD     | WARRANTS  | SECURITIE | OF         | UNDERLYING |
|      |   |           |                           |     |          | OF        | S         | CONVERTIB  |            |
|      |   |           |                           |     |          |           |           | LE         |            |
|      | WITH THEM   |           | INDICATED IN STATEMENT AT |     |          | THE SAME  | HELD      | SECURITIES | SHARES     |
|      |   |           |                           |     |          |           |           | OF         | ASSUMING   |
|      |   |           | PARA (I)(a) ABOVE }       |     |          | CLASS     |           | THE SAME   | FULL       |
|      |   |           |                           |     |          |           |           |            | OF         |
|      |   |           |                           |     |          |           |           |            | CAPITAL    |
| 1    | SAGAR SYSTECH LIMITED   | 604500    | 8.6718                    |     |          |           |           |            |            |
|      | Total :   | 604500    | 8.6718                    |     |          |           |           |            |            |
| GEN  | RATED ON: 03/01/2015  | NSDL: 31/ | 12/2014 CDSL: 31/12/201   | 4   |          | •         |           |            |            |