Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Name of Listed Entity: Mukesh Babu Financial Services Limited

1.

4

2.	Scrip Code/Name of Scrip/Class of Security: 530341												
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)													
	a.	If under 31(1)(b) then indicate the report for Quarter ending											
	b.	b. If under 31(1)(c) then indicate date of allotment/extinguishment											
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-												
7.	Deciara	ion. The Listed entity is required to submit the following declaration to the extent of submission of information	iiatioii										
 -	Deciara	ion. The Listed entity is required to submit the following declaration to the extent of submission of information to the extent of submission of the extent	ilation										
	Declara	Particulars	Yes*	No*									
	1			No*									
	1 2	Particulars	Yes*	No*									

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

No

No

GENERATED ON :05/10/2016 NSDL : 30/09/2016 CDSL :30/09/2016

Whether any shares held by promoters are pledge or otherwise encumbered?

Whether the Listed Entity has any shares in locked-in?

Mukesh Babu Financial Services Limited

Table I - Summary Statement holding of specified securities

							Sharehold						ing , as a			Number o		
							ing as a % of total	Number of Voting Rights held in each class				Underlyin g	% assuming	Number of Locked i		n pledged or otherwise		Number
				No. of	No. of		no. of	of securities			Outstandi	full	shares		oncumbored		of equity	
			No. of		shares		shares				ng	conversio					shares	
			fully paid		underlyin	_	(calculate	No of Voting Rights			_	convertibl			As a % of		As a % of	
		Nos. of	up equity	equity	g	Total nos.	d as per				Total as a	e	convertibl		total		total	demateri
		sharehold	shares	shares	Depositor	shares	SCRR,	Class eg:			% of	securities	e		Shares		Shares	alised
Category	Category of shareholder	ers	held	held	y Receipts	held	1957)	Х	Class eg: y	Total	(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	form
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(1	X)		(X)	(XI)=	(X	II)	(X	III)	(XIV)
(A)	Promoter & Promoter Group	2	4381600	0	0	4381600	62.8556	4381600	0	4381600	62.8556	0	62.8556	0	0.0000	0	0.0000	4381600
(B)	Public	1790	2579000	10300	0	2589300	37.1444	2589300	0	2589300	37.1444	0	37.1444	0	0.0000	NA	NA	2032700
(C)	Non Promoter - Non Public				0				0			0			0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	1792	6960600	10300	0	6970900	100.0000	6970900	0	6970900	100.0000	0	100.0000	0	0.0000	0	0.0000	6414300

GENERATED ON :05/10/2016 NSDL : 30/09/2016 CDSL :30/09/2016

Mukesh Babu Financial Services Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

						No. of		ing %	Number of Voting Rights held in each class				Shares Underlyin g Outstandi	assuming			Number of Shares cked in pledged or otherwise encumbered		Number of equity
				No. of	Partly	shares		as per					ng	conversio					shares
				fully paid	-	underlyin	_	SCRR,	No of Voti	ng Rights		_	convertibl			As a % of			1
				up equity		U	Total nos.					Total as a		convertibl		total		total	demateri
			sharehold		shares	Depositor		% of	Class eg:		L	% of	securities	_		Shares		Shares	alised
	Category & Name of the shareholders	PAN	ers	held	held	y Receipts		(A+B+C2)	Х	Class eg: y	'	(A+B+C)	, ,	securities	· · ·	held(b)	. ,	held(b)	form
	(1)	(11)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(IX)	1	(X)	(XI)=	()	(11)	(X	III)	(XIV)
1	Indian																		
(a)	Individuals / Hindu Undivided Family		2	4381600	0	0	4381600	62.8556	4381600	0		62.8556	0	62.8556	0	0.0000	0	0.0000	4381600
	Mukesh C Babu	AABPB9901C	1	3431600	0	0	3431600	49.2275	3431600	0	3431600	49.2275	0	49.2275	0	0.0000	0	0.0000	3431600
	Meena Mukesh Babu	AAFPB1958F	1	950000	0	0	950000	13.6281	950000	0	950000	13.6281	0	13.6281	0	0.0000	0	0.0000	950000
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(1)		2	4381600	0	0	4381600	62.8556	4381600	0	4381600	62.8556	0	62.8556	0	0.0000	0	0.0000	4381600
2	Foreign																		
	Individuals (Non-Resident Individuals / Foreign																		
(a)	Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter																		
	Group (A)= (A)(1)+(A)(2)		2	4381600	0	0	4381600	62.8556	4381600	0	4381600	62.8556	0	62.8556	0	0.0000	0	0.0000	4381600

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON:05/10/2016

NSDL: 30/09/2016

CDSL

Mukesh Babu Financial Services Limited

Table III - Statement showing shareholding pattern of the Public shareholder

	T	1	1	1			Т	ı	T										
													Shares	ing , as a			Number o		
								Sharehold					Underlyin	%			pledged o		
								ing %	Number of Voting Rights held in each class			g	assuming	Number o	of Locked in otherwise			Number	
						No. of		calculate	of securiti	es		1	Outstandi	full	shares		encumbe	red	of equity
				No. of	Partly	shares		d as per					ng	conversio					shares
				fully paid	paid-up	underlyin		SCRR,	No of Voti	na Diahta			convertibl	n of		As a % of		As a % of	held in
			Nos. of	up equity	equity	g	Total nos.	1957 As a	NO OI VOLI	ing Mights		Total as a	e	convertibl		total		total	demateri
	Category & Name of the		sharehold	shares	shares	Depositor	shares	% of	Class eg:	Class eg:		% of	securities	e		Shares		Shares	alised
	shareholders	PAN	ers	held	held	y Receipts		(A+B+C2)	х	у	Total	(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	form
	(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(1	X)		(X)	(XI)=	()	XII)	()	(III)	(XIV)
1	Institutions			, ,										, ,			,		
(a)	Mutual Fund		1	97500	0	0	97500	1.3987	97500	0	97500	1.3987	0	1.3987	0	0.0000	NA	NA	0
	Indian Bank A\c Indian Bank Mutual F			97500	0	0	97500	1.3987	97500	0	97500	1.3987	0	1.3987	0	0.0000	NA	NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(f)	Financial Institutions / Banks		1	11700	0	0	11700	0.1678	11700	0	11700	0.1678	0	0.1678	0	0.0000	NA	NA	0
(g)	Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(.,	Sub Total (B)(1)		2	109200	0	0	109200	1.5665	109200	0	109200	1.5665	0	1.5665	0	0.0000	NA	NA	0
	Central Government/ State		_			_								2.5555		0.000			-
2	Government(s)/ President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3	Non-Institutions							0.0000				0.0000		0.0000	_	0.0000	1474	147	+
(a)	Individuals			0	0										0		NA	NA	+
(ω)	i. Individual shareholders holding														_		1474	147	+
	nominal share capital up to Rs. 2		1641	854437	10300	0	864737	12.4050	864737	0	864737	12.4050	0	12.4050	0	0.0000	NA	NA	576237
	ii. Individual shareholders holding		1041	034437	10300		004737	12.4030	004737		004737	12.4030		12.4030		0.0000	1474	147	370237
	nominal share capital in excess of		7	528362	0	0	528362	7.5795	528362	0	528362	7.5795	0	7.5795	0	0.0000	NA	NA	503362
	Thanki Bharatbhai Trikamji	AAWPT3642B	,	251594	0	0	251594	3.6092	251594	0	251594	3.6092	0	3.6092	0	0.0000	NA	NA	251594
(b)	NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA NA	NA NA	0
(c)	Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C)	Overseas Depositories(holding DRs)		U	U	U		U	0.0000	U	U	U	0.0000	•	0.0000	U	0.0000	INA	IVA	+
(4)			0	0			_	0.0000	_	_	0	0.0000		0.0000		0.0000	NA	NA	
(d)	(balancing figure) Any Other (Specify)		140	•	0	0	1087001	15.5934	1087001	0	1087001	15.5934	0	15.5934	0	0.0000	NA NA	NA	953101
(e)	, , , , , , ,		25	75554	0	0			75554	0		1.0838	0		0		NA NA		
	Hindu Undivided Family				_		75554	1.0838		•	75554			1.0838	•	0.0000	NA NA	NA	75554
-	Non Resident Indians (Non Repat)		9	32020	0	0	32020	0.4593	32020	0	32020	0.4593	0	0.4593	0	0.0000		NA	32020
-	Non Resident Indians (Repat)		77	119240	0	0	119240	1.7105	119240	0	119240	1.7105	0	1.7105	0	0.0000	NA	NA	21540
<u> </u>	Overseas Bodies Corporates		1	32900	0	0	32900	0.4720	32900	0	32900	0.4720	0	0.4720	0	0.0000	NA	NA	U
<u> </u>	Clearing Member		3	13104	0	0	13104	0.1880	13104	0	13104	0.1880	0	0.1880	0	0.0000	NA	NA	13104
	Bodies Corporate		25	814183	0	0	814183	11.6797	814183	0	814183	11.6797	0	11.6797	0	0.0000	NA	NA	810883
	Sagar Systech Limited	AAACS8115M		604500	0	0	604500	8.6718	604500	0	604500	8.6718	0	8.6718	0	0.0000	NA	NA	604500
	Saurashtra Solid Indust Pvt Ltd	AAECS9355D		81132	0	0	81132	1.1639	81132	0	81132	1.1639	0	1.1639	0	0.0000	NA	NA	81132
	Sub Total (B)(3)		1788	2469800	10300	0	2480100	35.5779	2480100	0	2480100	35.5779	0	35.5779	0	0.0000	NA	NA	2032700
	Total Public Shareholding (B)=																		
	(B)(1)+(B)(2)+(B)(3)		1790	2579000	10300	0	2589300	37.1444	2589300	0	2589300	37.1444	0	37.1444	0	0.0000	NA	NA	2032700

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :05/10/2016 NSDL : 30/09/2016 CDSL

Mukes	∕lukesh Babu Financial Services Limited																		
	able IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																		
				Sharehold ing % Number of Voting Rights held in each class gassuming of securities Number of Shares ing , as a Underlyin % assuming Number of Securities shares shares		Number of Voting Rights held in each class				Underlyin % assuming		f Locked in	Number of Shares d in pledged or otherwi						
						No. of		calculated	or securiti	of securities C			Outstandi	Outstandi full			encumpered		of equity
				No. of	Partly	shares		as per		n		ng	conversio					shares	
				fully paid	paid-up	underlyin		SCRR,	No of Voti	ing Rights			convertibl	n of		As a % of		As a % of	held in
			Nos. of	up equity	equity	g	Total nos.	1957 As a				Total as a	e	convertibl		total		total	demateri
			sharehold	shares	shares	Depositor	shares	% of	Class eg:			% of	securities	e		Shares		Shares	alised
	Category & Name of the shareholders	PAN	ers	held	held	y Receipts	held	(A+B+C2)	Х	Class eg: y	Total	(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	form
	(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(IX)		(X)	(XI)=	()	(II)	(X	(III)	(XIV)
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Employee Benefit Trust (under SEBI (Share																		
2	based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding																		
	(C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :05/10/2016

NSDL: 30/09/2016

CDSL